

STATE OF CONNECTICUT

STATE ETHICS COMMISSION

June 21, 2005

PRESS RELEASE

On June 20, 2005, the State Ethics Commission and Gilbane Building Company ("Gilbane") entered into the attached Stipulation and Order. The Stipulation and Order fully resolves an Amended Complaint dated June 17, 2005 (Docket No. 2005-2) filed by Ethics Commission Principal Attorney Brenda M. Bergeron against Gilbane alleging violations of the gift ban in the Code of Ethics for Public Officials for providing benefits in excess of the legal limits to two state employees in 2002 and 2003.

As a result of the Stipulation and Order, Gilbane Building Company is required to pay a civil penalty of \$5,000 to the State Ethics Commission, or its successor agency. One of the employees also settled a complaint (Docket No. 2005-3) with the Commission, and is required to pay a \$500 civil penalty. His complaint and stipulation are also attached.

FOR FURTHER INFORMATION, CALL:

Brenda M. Bergeron
Principal Attorney
(860) 566-4472 x 306
Or
Hugh Macgill
Chairman
State Ethics Commission
(860)570-5484

DOCKET NUMBER 2005-2 : STATE ETHICS COMMISSION

IN THE MATTER OF A : 20 TRINITY STREET

COMPLAINT AGAINST : HARTFORD, CT 06106

GILBANE BUILDING COMPANY : JUNE 17, 2005

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission ("Commission") and the Respondent, Gilbane Building Company, (hereinafter "the Respondent") agree to settle this matter in the manner described below:

- 1. WHEREAS, on June 17, 2005, the Commission issued an Amended Complaint against the Respondent alleging that it had violated the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq.
- 2. WHEREAS, the Respondent has fully cooperated with the investigation by the State Ethics Commission.
- WHEREAS, the Respondent submits that, before it was contacted by the State Ethics Commission, it had instituted a Code of Ethics and a comprehensive and mandatory ethics training program for all of its employees
- 4. WHEREAS, the Respondent has entered this settlement solely in the interest of avoiding protracted litigation over the allegations stated in the Amended Complaint, and nothing stated herein shall constitute an admission of wrongdoing by the Respondent or an admission as to the truth or accuracy of any allegations stated in the Amended Complaint.
- 5. WHEREAS, the Respondent and the Commission agree that there has been no formal adjudication as to the Amended Complaint or any issues of law or facts stated therein; however, for purposes of this Agreement, the Commission finds that the Respondent violated the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq. as alleged in the Amended Complaint.

- 6. WHEREAS, the Respondent waives any rights it may have under Conn. Gen Stat. §§1-93, 1-93a, 1-98 and 1-80, including the right to a hearing or any appeal in this case, and agrees with the Commission to an informal disposition of this matter.
- WHEREAS, this Stipulation and Order shall fully resolve any matters that were or could have been brought by the Commission as a result of any information supplied to the Commission by the Respondent and/or third parties in connection with this proceeding, and the State of Connecticut, through its Ethics Commission or any successor agency, hereby forever waives and releases any claims against the Respondent related to such matters.

NOW THEREFORE, and in consideration of the above, pursuant to Conn. Gen. Stat. §4-177(c), the State Ethics Commission enters, and the Respondent agrees to, the following orders in lieu of any other action regarding this matter:

- 1. The Respondent shall pay a civil penalty of \$5,000.00 to the Ethics Commission or its successor agency within thirty (30) days of the signing of this Stipulation and Order by all parties; and
- 2. The Respondent shall henceforth comply with the Codes of Ethics for Public Officials.

The Respondent, Gilbane Building Company Date	<u>June 17, 2005</u>
Its President and Chief Operating Officer	Whene 2005
State Ethics Commission By: Its Chairperson	Date

ž,

Hugh Macgill



STATE OF CONNECTICUT

STATE ETHICS COMMISSION

CONFIDENTIAL

DOCKET NUMBER 2005-2

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

GILBANE BUILDING COMPANY:

JUNE 17, 2005

AMENDED COMPLAINT

- At all times relevant herein, Gilbane Building Company (hereinafter "the Respondent") was doing business with the State of Connecticut Department of Public Works (hereinafter "DPW").
- 2. Connecticut General Statutes §1-84(m) prohibits a public official or state employee from knowingly accepting, directly or indirectly, any gift, as defined in Conn. Gen. Stat. §1-79(e), from any person the official or employee knows or has reason to know is doing business with the employee's department or agency. That same section prohibits any person from giving a gift or gifts, directly or indirectly, in violation of that provision.
- 3. Regulations of Connecticut State Agencies §1-92-54(c) requires that in order to avoid attribution of an item as directly and personally received, the recipient must either return the item or reimburse the donor within thirty days.
- 4. On one or more occasions on or after April 26, 2002, the Respondent gave two persons who were employed by the State of Connecticut Department of Public Works as state employees or public officials as those terms are used in the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq., benefits--including food and beverage in excess of the annual fifty dollar limit per person-- that violated Conn. Gen. Stat. §1-84(m).

5. Each provision of a benefit by the Respondent to the two State employees/officials valued at greater than the legal limits without timely reimbursement is a violation of Conn. Gen. Stat. §1-84(m).

Dated at Hartford, Connecticut this 17th day of June, 2005.

Brenda M. Bergeron

Principal Attorney

State Ethics Commission

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Hartford, CT 06106

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STATE OF CONNECTICUT

STATE ETHICS COMMISSION

DOCKET NUMBER 2005-3

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

SCOTT W. JELLISON

JUNE 20, 2005

STIPULATION AND ORDER

Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission ("Commission") and the Respondent, Scott Jellison, (hereinafter "the Respondent") agree to settle this matter in the manner described below:

- WHEREAS, on June 17, 2005, the Commission issued an Amended Complaint against the Respondent alleging that it had violated the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq.
- 2. WHEREAS, the Respondent has fully cooperated with the investigation by the State Ethics Commission.
- 3. WHEREAS, the Respondent has entered this settlement solely in the interest of avoiding protracted litigation over the allegations stated in the Amended Complaint, and nothing stated herein shall constitute an admission of wrongdoing by the Respondent or an admission as to the truth or accuracy of any allegations stated in the Amended Complaint.
- 4. WHEREAS, the Respondent states that, on more than one occasion, he personally paid for meals for the Gilbane employees involved in this matter, and did not know that these employees were billing the meals they had paid for on his behalf back to Gilbane. In affidavits provided to the Commission, the Gilbane employees also stated that, to the best of their recollection, on multiple occasions, the Respondent purchased food and beverage for them.
- 5. WHEREAS, the Respondent did provide credit card records that showed payments for meals at the same or similar restaurants to those appearing

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on the records of the Gilbane employees, for days other than those paid for by the Gilbane employees.

- 6. WHEREAS, the Respondent and the Commission agree that there has been no formal adjudication as to the Amended Complaint or any issues of law or facts stated therein; however, for purposes of this Agreement, the Commission finds that the Respondent violated the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq. as alleged in the Amended Complaint.
- 7. WHEREAS, the Respondent waives any rights he may have under Conn. Gen Stat. §§1-93, 1-93a, 1-98 and 1-80, including the right to a hearing or any appeal in this case, and agrees with the Commission to an informal disposition of this matter.
- 8. WHEREAS, this Stipulation and Order shall fully resolve any matters that were or could have been brought by the Commission as a result of any information supplied to the Commission by the Respondent and/or third parties in connection with this proceeding, and the State of Connecticut. through its Ethics Commission or any successor agency, hereby forever waives and releases any claims against the Respondent related to such matters.

NOW THEREFORE, and in consideration of the above, pursuant to Conn. Gen. Stat. §4-177(c), the State Ethics Commission enters, and the Respondent agrees to, the following orders in lieu of any other action regarding this matter:

- 1. The Respondent shall pay a civil penalty of \$500.00 to the Ethics Commission or its successor agency within thirty (30) days of the signing of this Stipulation and Order by all parties; and
- 2. The Respondent shall henceforth comply with the Codes of Ethics for Public Officials.

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State Ethics Commission

By: Its Chairperson

Hugh Macgill

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STATE OF CONNECTICUT

STATE ETHICS COMMISSION

CONFIDENTIAL

DOCKET NUMBER 2005-3

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

SCOTT W. JELLISON

JUNE 17, 2005

AMENDED COMPLAINT

- 1. At all times relevant herein, the Respondent, Scott W. Jellison (hereinafter "the Respondent") was employed by the State of Connecticut as a Project Manager for the Department of Public Works (hereinafter "DPW"), and a state employee as that term is used in the Code of Ethics for Public Officials, Conn. Gen. Stat. §1-79 et seq.
- 2. At all times relevant herein, Gilbane Building Company (hereinafter "Gilbane") was doing business with DPW.
- 3. At all times relevant herein, the Respondent knew or had reason to know that Gilbane was doing business with the DPW.
- 4. Connecticut General Statutes §1-84(m) prohibits a public official or state employee from knowingly accepting, directly or indirectly, any gift, as defined in Conn. Gen. Stat. §1-79(e), from any person the public official or state employee knows or has reason to know is doing business with the official's department or agency.
- 5. On one or more occasions since April 26, 2002, the Respondent directly and personally received food and /or beverages from, or on behalf of, Gilbane, totaling in excess of \$50.00 per calendar year, in violation of Conn. Gen. Stat. §1-84(m).
- 6. Each receipt by the Respondent of a meal paid for by Gilbane or someone acting on behalf of Gilbane, which was valued in excess of fifty dollars (\$50.00), or which meal was given after the Respondent had exceeded his annual meal limit of \$50.00, is a violation of Conn. Gen. Stat. §1-84(m).
- 7. By virtue of the above, the Respondent violated Conn. Gen. Stat. §1-84(m).

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Count Two

- 1-3. Paragraphs 1-3 of Count One are incorporated herein as if fully set forth and realleged herein.
- 4. On one or more occasions since April 26, 2002, the Respondent directly and personally received benefits given by, or on behalf of, Gilbane, including food and/or beverages totaling in excess of \$50.00 per calendar year.
- 5. Connecticut General Statutes §1-84(c) prohibits a public official or state employee from using his public office or position to obtain financial gain for, among others, himself.
- 6. The benefits described in paragraph 6 were given by or on behalf of Gilbane to the Respondent by virtue of the Respondent's public office or position as a Project Manager for the DPW.
- 7. By virtue of the above, the Respondent violated Conn. Gen. Stat. §1-84(c).

Dated at Hartford, Connecticut this 17th day of June, 2005.

Brenda M. Bergeron Principal Attorney

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